

Sector/A



PATENT APPLICATION
Attorney's Do. No. 8514-50

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

PHILIP D. LAPSLEY; PHILIP J. GIOIA; MICHAEL KLEEMAN

Serial No. 09/871,241

Filed: May 30, 2001

For: BIOMETRIC FINANCIAL
TRANSACTION SYSTEM AND METHOD

TRANSMITTAL LETTER

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO:

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ON: 28 Sept 2001
[Signature]

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Enclosed for filing in the above-referenced application are the following:

- ☒ Copy of Notice to File Missing Parts
- ☒ Filing Fee
- ☒ Applicant claims small entity status (see 37 CFR 1.27)
- ☒ Declaration
- ☒ Power of Attorney with copy of Assignment recorded in priority application Serial No. 60/208,680
- ☒ Surcharge for Late Filing of Fee or Oath or Declaration
 - ☒ \$65 - Small Entity
- ☒ PTO Form 2038 authorizing credit card payment for the above-listed fees is enclosed.
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.



PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & MCCODLOM, P.C.

By *[Signature]*
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Philip D. Lapsley (w/o enclosures)



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/871,241	05/30/2001	Philip D. Lapsley	8514-50

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1030 S.W. Morrison Street
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CONFIRMATION NO. 8211

FORMALITIES LETTER



OC000000006371857

Date Mailed: 08/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$81.
 - \$81 for 9 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 501.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
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PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2001 MBELETE1 00000085 131703 09871241

01 FC:201		370.00 OP
02 FC:203		81.00 OP
03 FC:205	15.00 CH	50.00 OP